

XAVIER UNIVERSITY
2006-07 PLAYER-FINANCIAL ADVISOR REGISTRATION FORM

The completion of this form is required to be recognized as a registered agent of the Xavier University.

NOTE: This form must be completed in its entirety.

I) General (Please print or type)

Name: _____ Date of Birth: _____

SS#: _____ Phone: (____) _____

If affiliated with a particular firm or agency as a player-financial advisor, please indicate:

Name of

Firm/Agency: _____

Business Address

City State Zip Business Phone

(____) _____ (____) _____

Cell Phone Fax

Email

II) Education

High School: Name _____ Year

Graduated: _____

City State

College: School Name: _____

City State

Degree(s) and Year Graduated: _____

Graduate/Legal

College or University: _____

City State

Degree(s) Awarded and Year: _____

Admitted to Bar (If applicable)

Yes _____ No _____ State _____ Date _____

III) Experience

Number of years experience as a player-financial advisor: _____

Sports in which you currently represent athletes and total number of athletes in each

sport:

IV) Other Qualifications

Current membership in professional organizations: _____

Occupational or professional licenses and date obtained: _____

V) Professional Services

General Services performed for client-athletes (check those that apply and indicate fee charged):

Playing contract negotiations: Yes _____ No _____ Hourly fee or percentage: _____

Endorsement contract negotiations: Yes _____ No _____ Hourly fee or percentage: _____

Legal Assistance _____ Tax Consulting _____

Financial Planning _____ Money Management _____

For the services you perform for client-athletes, list the names and addresses of individuals, firms, or agencies who assist you in providing these services. Use additional sheets if necessary:

Name City State

Name City State

In receiving compensation for contract negotiation services, do you receive payment “up front” or are your payments received as the player is compensated?

Please list the names of any athletes, including Xavier athletes, that you previously or currently represent and, in team sports, the team/league to which each athlete is currently under contract and the name of the team representative with whom you negotiated this contract. Write “none” if you currently do not represent any athlete. If you represent athletes in more than one sport, please provide this information for at least five clients in each sport. Use additional sheets if necessary:

Player Name Team Client’s Phone Team Rep.

Please list the names of any current University of Cincinnati student-athletes that you wish to contact during the 2005-2006 academic year.

Do you earn income from work performed in some capacity other than as a player financial advisor? _____

If yes, please describe the other occupation or services for which you are paid.

VI) Previous Employment

Firm _____
Position/Date _____
Address: _____ City/State _____
Firm _____
Position/Date _____
Address: _____ City/State _____

VI) References (3)

(1) Name _____
Phone _____
Address _____ City/State _____
(2) Name _____
Phone _____
Address: _____ City/State _____
(3) Name _____
Phone _____
Address: _____ City/State _____

I certify that the above information is true, correct, and complete to the best of my knowledge.

Further, I certify that I will notify the Xavier University Compliance Office before the first contact with a student-athlete who has eligibility remaining in any sport and is enrolled at the Xavier University.
Furthermore, I have reviewed the NCAA rules and regulations that accompany this form and have not engaged in any activity prior to a student-athlete’s agreement to be represented that would jeopardize the student-athlete’s eligibility. I also understand that failure to comply with the terms of this certification and the applicable NCAA legislation may result in the initiation of legal proceedings by the Xavier University against me and the assessment of civil and/or criminal penalties to me.

Signature: _____ Date: _____

Return completed form to: George Rathman, Compliance Coordinator
Xavier University
3800 Victory Parkway
Cincinnati, OH 45207-6004
(513) 745-2855
(513) 745-3733 (fax)
rathman@xavier.edu