

**XAVIER UNIVERSITY  
DEPARTMENT OF ATHLETICS  
ATHLETE AGENT POLICY AND INFORMATION**

***INFORMATION FOR AGENTS***

In an effort to prevent amateurism and extra benefit NCAA violations at Xavier University, the Compliance Office monitors the interaction of agents/financial planners and student-athletes. The Compliance Office has a proactive approach with agents/financial planners.

One of the functions of the Compliance Office is to register agents with Xavier University in order to provide guidance to student-athletes regarding the pursuit of a career in professional athletics. The registration form that agents are required to complete gives the Compliance Office a complete listing of the credentials of the agent and the agent's firm. When the Compliance Office receives this information, it develops a file that will house all of the agent's information. The student-athletes can view these files at any time for the purpose of getting preliminary information about the agent, such as a list of the agent's current clients. After the first year that an agent registers with Xavier University, the agent only need to complete a short registration update form each year to ensure that Xavier University has the most current information.

Xavier University includes the parents of the student-athletes in the agent education process. Information on Xavier's web page provides parents with an explanation of the NCAA guidelines on conduct with agents. This information is beneficial for the parents because it can help minimize the possibility of inadvertent NCAA violations and educate the parents about the services of agents.

It is Xavier's policy that no personal contact be made by an agent or agent's representative during the athlete's playing season. However, we will be glad to forward any written materials on your behalf. At the conclusion of the playing season, please inform the Compliance Office before the first contact is made with a student-athlete who has eligibility remaining in any sport and is enrolled at Xavier University.

Please view the links to the Agent Registration Form and Update, the Financial Planner Registration Form and Update, and the NCAA Regulations and Guidelines Related to Agents and other Amateur Provisions which should be reviewed prior to completing the Registration Forms.

Thank you for your interest in Xavier Athletics!