



Strategic Plan - Athletics Compliance

MISSION STATEMENT

Athletic Compliance provides a comprehensive compliance and monitoring program that promotes knowledge of and adherence to NCAA, Big 12 Conference and institutional rules and regulations among members of the athletics department, Texas Tech personnel and members of the athletics community, thereby reducing infractions.

VISION STATEMENT

Athletic Compliance aspires to achieve a national recognition of excellence in compliance with NCAA, Big 12 Conference, and institutional rules and regulations.

CORE VALUES

Athletics Compliance will create an environment of excellence in adherence to NCAA, Big 12 Conference and institutional rules, regulations, policies and procedures by members of the Athletics Department, Texas Tech personnel and members of the athletics community. Athletics Compliance is committed to the values of integrity, accountability, excellence, service and accuracy.

Goal I. Institutional Control: Build and maintain a compliance program that establishes the highest standard of ethical conduct.

Benchmarks for Goal I

1. Continue to convey institutional commitment and emphasize importance from upper management regarding compliance.
2. Increase awareness of NCAA, Big 12 Conference and institutional rules and regulations by all university personnel.
3. Maintain comprehensive compliance systems to effectively monitor NCAA, Big 12 Conference and institutional rules and regulations.
4. Avoid major NCAA violations.
5. 100 percent of staff signs NCAA Certification of Compliance annually.
6. All coaches pass NCAA Coaches Certification Test by 80 percent.
7. Develop a system of standing meetings to increase communication including meetings with the President, weekly meetings with the Athletics Director and bi-monthly meeting with the President's Office.
8. Consistently monitor and evaluate compliance systems.
9. Conduct thorough reviews, such as NCAA or Big 12 Conference compliance reviews, once every two years.



Goal II. Engagement: Provide quality educational programs for coaches and staff for Compliance.

Benchmarks for Goal II

1. Review institutional control, annually, with all staff members.
2. Hold monthly, mandatory Compliance educational meetings with coaches and sport-specific staff.
3. Disseminate Guide to Rules Compliance to TTU Departments as required.
4. Distribute informational literature and information to all student-athletes at beginning of the year meetings.
5. Distribute booster information to all appropriate sources in an effort to educate as many publics as possible.
6. Develop creative ideas to implement education through technology, such as e-mail, the Web, video use, and emerging technologies.
7. Develop an electronic Compliance manual system and maintain/upgrade it as necessary.

Goal III. Pride and Partnerships: Build and maintain partnerships and alliances with essential publics.

Benchmarks for Goal III

1. Develop and maintain quality relationships with all coaches and staff.
2. Develop and maintain quality relationships and good standing with the Big 12 and NCAA.
3. Promote Texas Tech Compliance in local, regional, and national communities.
4. Attend the Big 12 Conference and participate in workshops on both the Conference and at the national level.
5. Meet with units in the Athletics Department once every two months.
6. Encourage coaches to be proactive in regards to potential compliance issues.
7. Meet annually with staff senate.
8. Meet annually with student-governing body.
9. Build relationships with the media where appropriate.



Goal IV. Advancement and Accountability: Comply with all requests for forms or information from various agencies such as the NCAA or the Big 12.

Benchmarks for Goal IV

1. Submit all squad lists, forms, rosters, and the like, to the Big 12 and NCAA in a timely manner.
2. Work with Financial Aid to ensure all scholarship information is appropriately disseminated.
3. Complete, in a timely manner, all requests for interpretations by coaches and staff.
4. Track and report all violations.
5. Update all policies and procedures in as timely a manner as possible, with respect to all issues of Compliance.

Goal V. Human Resources: Invest the human and financial resources necessary to maintain a quality work environment.

Benchmarks for Goal V

1. Staff members to attend professional conferences and training seminars and to participate when possible in Compliance workshops and symposiums.
2. Continue to expand the use of technology to help disseminate information and to create better ways to distribute information via this technology.
3. Hire and maintain an engaged work force at appropriate levels.
4. Continue to cross-train the Compliance staff.