



SPIDER COMPLIANCE

MONTHLY NEWSLETTER

Volume III, Issue 2

October 2007

Recruiting Calendar

BASEBALL

Oct. 1 – Oct. 31...Contact Period

MEN'S BASKETBALL

Oct. 1 – Oct. 5...Contact Period
Oct. 6 – Oct. 31...Evaluation Period

WOMEN'S BASKETBALL

Oct. 1 – Oct. 31...Eval Period*

* 100 recruiting-person days per academic year.

* Those days not designated as part of the 100 shall be considered Quiet.

FOOTBALL

Oct. 1 – Oct. 31...Quiet Period *

* Except for 42 evaluation days during the months of Sep., Oct. and through Nov. 24, selected at the discretion of the institution and designated in writing in the office of the Director of Athletics.

WOMEN'S LACROSSE

Oct. 1 – Oct. 31...Contact Period

Cross Country/Track

Oct. 1 – Oct. 31...Contact Period

ALL OTHER SPORTS

Oct. 1 – Oct. 31...Contact Period



PRINTED RECRUITING MATERIALS

In sports other than men's basketball, a member institution may not provide recruiting materials to a prospect until September 1 at the beginning of the prospect's junior year. In men's basketball, an institution may not provide recruiting materials to a prospect until June 15 at the conclusion of the prospects sophomore year.

The following items are permissible / impermissible recruiting materials that an institution may or may not provide to prospects, the prospect's parents or legal guardians, the prospect's coach or any other individual responsible for teaching or directing an activity in which the prospect is involved:

Permissible Recruiting Materials (13.4.1.1)

- ☺ General Correspondence;
- ☺ Business Cards;
- ☺ Camp Brochures (may be provided prior to the first permissible date);
- ☺ Questionnaires (may be provided prior to first permissible date);
- ☺ Nonathletic Institutional Publications;
- ☺ NCAA Educational Material Published by the NCAA (may be provided prior to first permissible date);
- ☺ Athletic Publications (recruiting brochure or media guide);
- ☺ Game Programs (may be provided only during official and unofficial visits and may not be mailed)
- ☺ Necessary Pre-enrollment Information (i.e., orientation information, conditioning, academics and practice activity information. NOTE: This is only permissible if/when the prospect has signed an NLI);
- ☺ Institutional Note Cards (pursuant to the new legislation).

Impermissible Recruiting Materials

- ☹ Schedule cards;
- ☹ Student- Athlete Handbooks;
- ☹ Electronic Transmissions other than e-mails and faxes (e.g. text messages, instant messages);
- ☹ Any other item not listed in 13.4.1.1 above.

IMPORTANT REMINDERS

- ★ The content of all general recruiting correspondence must be prepared by the head coach or one of the assistant coaches who counts towards the numerical limitations.
- ★ Fed Ex or other express delivery services may only be used to send a prospect her/her NLI and/or GIA agreement. All other materials must be sent via first-class mail or a lesser rate of service with no extra services (e.g. certified mail, delivery confirmation).



COMPLIANCE BRIEFS

A Closer Look at Pertinent Compliance Issues

Student-athletes using outside consultants/instructors....A student-athlete in any sport may receive assistance from an individual outside the institution (e.g. consultant, professional instructor) without the individual being counted in the institution's coaching limitations, provided the institution is not involved in any way in arranging for such activity, institutional coaching staff members do not observe such activity and such activity does not occur at the institution's facility (either during voluntary workouts or during regular institutional practice sessions). Further, the student athlete(s) must pay all the fees (at the going rate) associated with the activity and may not receive preferential compensation arrangements (e.g. discount rate, deferred payments) not available to the general public. For example, it is not permissible for a student-athlete to receive instruction from a golf instructor (regardless of the student-athlete's relationship with the instructor) unless all of the above conditions are satisfied. (NCAA Ed. Column, 5/27/02)

Early signing period eliminated in Cross Country/Track & Field....Please be advised that by action of the Collegiate Commissioners Association, the early signing period in the sports of cross country/track & field has been eliminated, effective immediately. As such, prospective student-athletes in these sports will no longer be permitted to sign National Letter of Intent during the early signing period, established as November 14-21, 2007 for the current academic year. Instead, these prospects will only be permitted to sign an NLI from February 6, 2007, to August 1, 2008, which coincides with the signing opportunities currently available for field hockey, soccer and men's water polo.

Recruiting coordination functions....All recruiting coordination functions (except routine clerical tasks) must be performed by the head coach or one or more of the assistant coaches who count toward the numerical limitations in Bylaw 11.7.4. Such functions include: (a) activities involving athletics evaluation and/or selection of prospects; (b) making telephone calls to or receiving telephone calls from prospective student-athletes (or prospective student athlete's parents, legal guardians or coaches); and (c) preparation of general recruiting correspondence (including electronic correspondence) to prospective student-athletes or prospective student-athlete's parents or legal guardians. (NCAA Bylaw 11.7.1.2)

Volunteer coach involvement with local sports clubs and teaching private lessons....It is permissible for a volunteer coach in a sport other than basketball to be involved in any capacity (e.g. as a participant, administrator or instructional or coaching activities) in the same sport with a local sports club or organization located in the institution's home community, provided all participation prospective student-athletes are legal residents of an area within a 50-mile radius of the institution and there is no institutional sponsorship of the club. However, it is not permissible for a volunteer coach to conduct any physical activity at which one of more prospective student-athletes reveal, demonstrate or display their athletics ability except as provided for in Bylaws 13.11.2 and 13.11.3. Therefore, a volunteer coach is prohibited from teaching private lessons to any prospective student-athlete in the coach's sport, except women's golf and equestrian. (NCAA Ed. Column, 3/23/07)

Participation in fantasy leagues...Student-athletes, coaches and administrators all need to understand NCAA rules pertaining to sports wagering and fantasy leagues. Fantasy leagues in which an entry fee is required and/or there is an opportunity to win a prize is considered sports wagering. (NCAA Bylaw 10.02.1)

Occasional meals...Pursuant to Bylaw 16.11.1.5, a student-athlete or the entire team in a sport may receive an occasional meal in the locale of the institution on infrequent and special occasions from an institutional staff member (e.g., a coach or athletic administrator). Even though the legislation does not define "occasional", the most common application is 1 to 2 times a semester. Please make sure to discuss all occasional meals with the Compliance Office prior making the arrangements.



October Reminders...



1. Recruiting Records / Logos

- Coaches must keep complete recruiting records with all prospects.
- Travel Log Forms detailing all contacts and evaluations must be completed and attached to travel reimbursement requests.
- Telephone Logs are to be submitted to the Compliance Office at the end of each month.

2. Official Visits

- Don't forget that prospects must be registered with the Clearinghouse and on the institution's IRL.
- Please use the updated Official Visit forms that were e-mailed to you on August 28, 2007.
- If you need meal cards, please see Daniel McCarthy or Lynn Spencer.

3. Unofficial Visits

- See the Compliance website for the Unofficial Visit Form
- The Unofficial Visit Form must be completed by the coach and signed by the prospect for all arranged unofficial visits. Completed forms should be forwarded to the Compliance Office.

4. Change of Status

- Coaches must complete the official Roster Change Form when a student-athlete is deleted from the team or has voluntarily withdrawn.
- The Compliance Office must be notified of in writing at the earliest opportunity following the status change.

5. NCAA Special Assistance Fund

- Special Assistance Fund (SAF) applications are available in the Compliance Office.
- The Compliance Office will notify the head coach of each sport of those student-athletes who qualify for the SAF.
- Student-athletes approved for the SAF must pick up their checks and return all receipts to the Compliance Office.

6. Transfer Requests

- Transfer requests must be sent through the Compliance Office.
- The Compliance Office will not release a current U of R student-athlete without receiving permission from the head coach and sport administrator.
- Only the Compliance Office is allowed to send transfer releases to another institution.

Signing Dates for the 2008-09 National Letter of Intent

Sport	Initial Signing Date	Final Signing Date
Basketball (Early Period)	November 14, 2007	November 21, 2007
Basketball (Regular Period)	April 16, 2008	May 21, 2008
Football (Midyear JC Transfer)	December 19, 2007	January 15, 2008
Football (Regular Period)	February 6, 2008	April 1, 2008
Field Hockey, Soccer, Cross Country/Track & Field	February 6, 2008	August 1, 2008
All Other Sports(Early Period)	November 14, 2007	November 21, 2007
All Other Sports(Regular Period)	April 9, 2008	August 1, 2008

In the News...

Division I Committee on Infractions Penalizes Purdue Women's Basketball



INDIANAPOLIS---The NCAA Division I Committee on Infractions has penalized Purdue University for major and secondary violations in its women's basketball program.

The committee noted that the case was narrow in scope, but serious in nature, finding that a former assistant coach and former student-athlete violated the principles of ethical conduct. It was found that the former assistant coach committed academic fraud with the full knowledge and complicity of the student-athlete. It was also found that the former assistant coach and former student-athlete provided false and misleading information to the university regarding the violations. The same coach also made impermissible telephone calls in violation of NCAA recruiting rules and provided extra benefits to student-athletes.

Penalties for the violations include placing the university on two years of probation, a reduction in women's basketball scholarships, permanent ineligibility for the involved student-athlete and a three-year show-cause penalty for the former assistant coach. Under this show-cause penalty, if the former assistant coach seeks athletically related employment with another NCAA member school during the next three years, she and the hiring institution must appear before the Committee on Infractions to determine whether her duties should be limited.

The former assistant coach admitted to conducting research and reading a text book in an effort to assist a former women's basketball student-athlete with an assigned paper but denied committing academic fraud. She also admitted typing, correcting and making revisions to the paper, which led the university to conclude in an independent assessment that the paper was partially plagiarized and thus constituted academic fraud.

In addition, it was found that both the former assistant coach and involved student-athlete provided false and misleading information to the university's athletics compliance staff in an attempt to conceal the academic fraud violations.

Although the former head women's basketball coach was not in violation of NCAA rules, the committee stated in its report that it was troubled that the former head coach was made aware that the former assistant coach may have committed academic fraud but dismissed the allegations as not credible after conducting her own inquiry. Members of the women's basketball staff brought the issue forward to the former head coach in November 2005 and again in early January 2006. The coach's dismissal of the information was in direct conflict with the university policy, which states that possible NCAA violations should be reported immediately to the director of athletics, the respective sports administrator or the director of compliance. However, the information was not brought to the attention of one of these university officials until February 2006.

It was also found during the university's investigation that the former assistant coach made a total of 105 impermissible telephone calls to two prospective student-athletes. The university did not believe the violations constituted a major infraction due to a perceived lack of a significant recruiting advantage. The committee, however, concluded that because the impermissible calls were neither isolated nor inadvertent, they did not fit the definition of a secondary violation and must be considered a major infraction, regardless of the level of recruiting advantage.

This case also involved three secondary violations, which are further detailed in the public report.

In determining the penalties, the Committee on Infractions considered the university's self-imposed penalties and corrective actions. The committee also took into account that the violations in this case were self-discovered and self-reported, as well as limited to one former coach and one student-athlete. The penalties, some of which were self-imposed by the university and adopted by the committee, are as follows:

- Public reprimand and censure.
- Two years of probation (August 22, 2007, to August 21, 2009).
- Reduction in women's basketball program scholarships by three. The university will reduce total grants from 15 to 13 during the 2007-08 academic year. The university already reduced one scholarship during the 2006-07 academic year.
- The institution declared the former student-athlete permanently ineligible and did not seek reinstatement. She was permitted to retain her athletics scholarship so that she could continue to pursue a degree at the university. Because she decided to remain at Purdue on scholarship, her grant-in-aid was counted as the one grant loss for 2006-07 academic year.
- The former assistant coach received a three-year show-cause penalty (August 22, 2007, to August 21, 2010).

UR ATHLETICS COMPLIANCE OFFICE

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