



An important aspect of NCAA compliance is the self-reporting of any violations. Self-reporting these violations reflects a solid program of institutional control. Therefore coaches, student-athletes and athletics staff should take a proactive stance in reporting such violations.

Rice University's athletics staff, coaches and student-athletes are required by NCAA rules to fully cooperate and disclose all information regarding possible rules violations. Failure to cooperate will result in an unethical charge from the NCAA which could mean termination from Rice University, no future employment at an NCAA institution or for student-athletes, an end to their athletic careers. The best policy is to be up-front with all information and work through the NCAA process for rules violations. Typically, the penalties for cooperation are minimal compared to the penalties for not cooperating.

Coaches, student-athletes, athletics department staff, institutional employees, boosters or anyone associated with Rice are expected to report possible NCAA rules violations or questions concerning rules to the Associate Athletics Director for Compliance or the Compliance Coordinator. The Director of Athletics, Associate Athletics Director and Faculty Athletics Representative will work with the involved parties to resolve the issue. Any individual who believes a violation has occurred can report an issue to:

- A member of the Compliance Office

Bryan B. Blair
Associate Athletics Director/Compliance
bryan.b.blair@rice.edu
713-348-6919

Taylor Hess
Compliance Coordinator
twh3@rice.edu
713-348-4457

- Faculty Athletics Representative-
Dr. Rob Griffin
rob.griffin@rice.edu
713-348-2093
- Athletics Senior Staff Member,
- Head or Assistant Coach, or
- Anonymously through Rice's EthicsPoint
https://secure.ethicspoint.com/domain/en/report_custom.asp?clientid=7653.



Rice University EthicsPoint

Rice University is committed to excellence at all levels and strives to support the Rice community in practicing the highest levels of ethical conduct. To facilitate this, Rice University has selected EthicsPoint to provide you with simple, risk-free ways to anonymously and confidentially report activities that may involve criminal conduct or violations of Rice University policies.

Persons making reports are not required to provide their names, and Rice University will treat the investigation of any report as a confidential matter. Reports submitted to EthicsPoint will be forwarded to the proper university officials for appropriate action. No faculty or staff member or student may be subjected to reprisal or retaliation for making a report or inquiry in good faith or for seeking guidance on dealing with potential or suspected improper behavior.

To File a Report with EthicsPoint

You may use any of the following methods to submit a report:

Call 1-866-294-4633 (toll free), or

Select your violation category from the list on the website.

Should a possible violation be discovered, conveyed, initiated, etc. by the Conference USA office or the NCAA, the athletic department, college staff, student-athletes and any representatives of athletics interest who may be involved or called on are expected to cooperate fully with investigators. When appropriate, the institution will conduct its own investigation simultaneously and will turn in all pertinent findings to the conference or NCAA investigators.

Depending on the nature of the possible violation, a speedy, objective and thorough investigation will be completed by the Associate Athletics Director, the Director of Athletics, the Faculty Athletic Representative, the Conference USA Office or the Rice General Counsel.

It is the policy of the Rice University Department of Athletics to self-report any instances of a violation of NCAA Bylaws to Conference USA or the NCAA Offices. If an individual has knowledge of a potential violation, he/she shall report the information to the Director of Athletics, Sport Administrator and/or the Associate Athletics Director for Compliance immediately.

The Compliance Office will research the potential violation by making a complete record of the events including any dates, times, circumstances, events and names. The preliminary inquiry will include but is not limited to: interviews with coaches, staff and student-athletes or a review of the records. The main purposes of the preliminary inquiry are to obtain information, determine the likelihood of an infraction and determine the nature of the infraction.

After the preliminary inquiry, the Compliance Office shall consult with the Senior Associate Athletics Director/SWA, Director of Athletics, Faculty Athletics Representative and any other appropriate University personnel about any discoveries to decide upon a course of action. If the preliminary inquiry indicates no evidence of a violation, the Associate Athletics Director for Compliance and Senior Associate



Athletics Director/SWA will agree that no further action will need to be taken. Should a violation have occurred the Associate Athletics Director for Compliance will notify the appropriate members of the Department of Athletics, President's Staff, Conference USA Staff and/or NCAA Staff.

The NCAA Enforcement Staff recommends that the following information be included in an institution's report:

- The date and location of the violation.
- The identities of involved student-athletes, coaches, staff members and any others involved in the violation.
- The means by which the institution became aware of this information.
- The cause of the violation (e.g. lack of knowledge, human error, etc.), an explanation of the circumstances surrounding the violation and any mitigating circumstances that may exist.
- A list of corrective and/or punitive actions taken by the institution in response to the violation.
- The institution's position regarding the violation and surrounding circumstances. The institution will indicate whether it believes a violation occurred and the specific legislation it believes has been violated.