OVERVIEW

- Agents/Advisors Policy
- Boosters
- Selected 2017-18 Proposals
- Q&A
DEPARTMENT REQUIREMENTS

• Registration with the University
  ❖ Agent Application
  ❖ Agent Questionnaire

• Registration with Washington, D.C.
  ❖ Form U-4
  ❖ Agent Application
  ❖ License Application Fee – Approximately $100
• Activities considered under Agents/Advisors Policy
  ❖ Soliciting GW SAs for the express purpose of representation in the professional sports market;
  ❖ Negotiating financial compensation or benefits with a professional sports organization, or groups related to sports organizations;
  ❖ Counseling or advising for the purpose of negotiating with a professional sports organization or groups related to sports organizations;
  ❖ Making representations to a professional sports organization or groups related to sports organizations regarding an individual’s worth or potential work, as a professional; and
  ❖ Any other activities that can be interpreted as representation for financial compensation in the professional sports market or related industries
Registration Policy – All individuals who desire an audience with a GW SA (current and exhausted eligibility) must first make their intentions known to the GW Department of Athletics and Recreation and the head coach of the relevant program.

Application for Registration – All individuals desiring status as an Official Approved Agent for SAs at GW must complete a questionnaire and file an application with the Department of Athletics and Recreation.

Approval – Upon receiving the Agent/Representation Application, the agent/representative will be informed within thirty (30) days as to his/her status. The agent/representative will ultimately receive a written confirmation of acceptance or denial from the Department of Athletics and Recreation.
• **Approved Agents** – Approved agents/advisors will:
  
  - Notify and seek permission from the Athletics Compliance Office prior to contacting any SA at GW;
  - Disclose information regarding all contacts with SAs;
  - Maintain the highest degree of integrity and competence in discussions with professional organizations regarding GW SAs;
  - Fully disclose any and all relationships, including but not limited to, financial or employment relationships with professional organizations;
  - Fully comply with any applicable local, NCAA, Conference and/or Federal laws regarding the agent/representation process; AND
  - Fully comply with any and all applicable GW and Department of Athletics and Recreation policies
DC POLICY

• If an individual wishes to represent a SA at GW they must first obtain a license from the Securities Bureau of the Department of Insurance, Securities and Banking (DISB)

• All filings that include payments must be sent to the DISB Securities Bureau’s Lock Box

• Agents must submit a Form U-4, the Uniform Application for Securities Industry Registration or Transfer, and the license application fee

• Generally, an applicant for a Broker-Dealer’s Agent’s license must pass a securities examination
  • Under certain circumstances, an individual may not be required

• All licenses expire on December 31 of each year and can be renewed online at https://disb.dc.gov
BOOSTERS
**DEFINITION OF A BOOSTER**

A booster, is an individual, independent agency, corporate entity or other organization who is known (or who should have been known) by GW to:

- Have participated in or to be a member of an agency or organization promoting the GW’s athletics program;
- Have made financial contributions to GW athletics or a booster organization;
- **Be assisting or to have been requested (by the athletics department staff) to assist in the recruitment of PSAs;**
- Be assisting or to have assisted in providing benefits to athletes or their families; or
- **Have been involved otherwise in promoting GW’s athletics program**
2017-18
Proposed Legislation
• **INTENT** – To establish that waivers of the five-year rule may be approved in situations when a SA did not participate in one season of competition due to an institutional decision (e.g., coach's decision to redshirt the SA) during a year when he/she was eligible for competition and the SA was deprived of the opportunity to participate in one other season for reasons beyond the control of the SA or institution.

• **CURRENT RULE** – Five-Year waivers (i.e. sixth year of eligibility) can only be approved if a SA misses more than one season of competition for reasons beyond the SA’s or institution’s control (e.g. illness or injury of SA or immediate family members, natural disaster, etc.).
PROPOSAL NUMBER: 2017-29
Effective Date: IMMEDIATE

- **INTENT** – To (a) Eliminate restrictions related to endorsements of entities and events related to PSAs, as specified; (b) Specify that an institution may provide recruiting materials, including general correspondence related to athletics, and send electronic correspondence (including posts on social media) to an individual (or his or her parents or legal guardians) at any time; and (c) Eliminate restrictions on publicity related to the recruitment of a PSA before his or her commitment, as specified.

- **CURRENT RULE** – (a) Restrict schools/coaches from making comments about things related to PSAs (i.e., clubs and teams, specific events); (b) places limits on recruiting materials and communications with PSAs/families, including social media posts; and (c) restricts schools from publicizing the recruitment of PSAs prior to their commitment.
• **INTENT** – To specify that there are no restrictions on the design or content of a questionnaire (e.g. may include information related to the institution’s athletics program and may include recruiting language or solicitation of the PSA to enroll at the institution).

• **CURRENT RULE** – Puts regulations on the design and content of a recruiting questionnaire.
INTENT – To specify that an institution may produce video or audio materials for recruiting purposes to show to or provide to a PSA, provided it is not personalized to include a PSA’s name, picture, or likeness and it is not created by an entity outside the institution.

CURRENT RULE – Allows media presentation available to all students and computer-generated recruiting presentations that may include general informational video/audio material that relates to an athletics program but not created for recruiting purposes. These materials may not be personalized to include a PSA’s name, picture, or likeness and it is not created by an entity outside the institution.
• **INTENT** – To allow an institution to provide an additional official visit to a PSA after the departure of a head coach, provided the PSA has signed a NLI or the institution’s written offer of admission and/or financial aid of the institution has received his or her financial deposit in response to the institution’s offer of admission.

• **CURRENT RULE** – Institutions can only provide a PSA with one “expense-paid” official visit to its campus.
• **INTENT** – To allow an institution to decorate common areas in athletics facilities for an official or unofficial visit, provided the decorations are not personalized and the common areas are not accessible or visible to the general public while they are decorated (e.g., locker room, coach’s office).

• **CURRENT RULE** – Does not allow any decorations to be put up for official or unofficial visits.
INTENT – To specify that an enrolled SA may comment on social media about a PSA, provided such comments are not made at the direction of an institutional staff member.

CURRENT RULE – Prohibits SAs from posting content related to a PSA’s recruitment, even when and/or if a relationship existed prior to the SA’s participation in collegiate athletics.
• INTENT – To allow institutions the ability to provide tuition and course related fees to a SA (or a PSA prior to initial full-time enrollment) to attend another institution during the summer provided the certifying institution does not offer degree-applicable coursework during the same summer and the recipient is enrolled in a minimum of three hours of degree-applicable credit that is transferable to the certifying institution.

• CURRENT RULE – Only permits institutions to cover tuition and course related fees to SAs and PSAs for courses taken or offered at that institution.
• **INTENT** – Eliminates the 3.00 cumulative GPA requirement to exempt institutional academic awards. In basketball to allow a recruited SA whose only source of institutional aid is academic aid based solely on their record at the institution to compete without counting in the team’s limit. In equivalency sports, the deregulation applies to renewals of academic honor awards and institutional academic awards.

• **CURRENT RULE** – Requires a 3.000 GPA (on a scale of 4.000) to exempt institutional honor and academic awards that are part of an institution’s normal arrangements for renewals.
• **INTENT —**

  ✤ 60: In sports other than basketball and football, to eliminate the limitation of 2 hours of skill-related instruction within the weekly limitation of eight hours for out-of-season athletically related activities.

  ✤ 61: In team sports other than football, to establish a 4-hour skill-related instruction within the weekly limitation of eight hours for out-of-season athletically related activities. In individual sports to eliminate the 2-hours of skill-related instruction.

  ✤ 115: In men’s basketball, eliminates the 2-hour skill instruction during the out-of-season and summer access periods.

• **CURRENT RULE —** Restricts to 2 hours the skill-related instruction within the weekly limitation of eight hours for out-of-season athletically related activities.
• **INTENT** – In sports other than football, to eliminate the restrictions that preclude skill-related instruction from being publicized and conducted in view of a general public audience.

• **CURRENT RULE** – Institutions are limited to publicizing skill instruction only after it has occurred and such activities cannot be in view of a general public audience.
• **INTENT** – In sports other than basketball, to specify that if an institution’s team participates in three contests or dates of competition in a week, an institution is not subject to the one-day-off-per-week requirement, provided the SAs do not engage in any countable athletically related activities for two days during the preceding or the following week.

• **CURRENT RULE** – Sports other than basketball are subject to the one-day-off-per-week requirement regardless if the team participates in three competitions in that week. Currently only basketball has this exception.
• **INTENT** – Replaces “permission to contact” legislation related to four-year college transfer SAs with a “notification of transfer” model. To specify that a violation of the notification of transfer legislation may constitute a significant breach of conduct (Level II violation). In addition, discussion on a proposal that would allow current institution to cancel, reduce or not renew the SAs athletics aid if they provide notification of transfer.

• **CURRENT RULE** – Requires permission from current institution who could deny and provides SA with appeal process if denied.
PROPOSAL NUMBER: 2017-110

Effective Date: IMMEDIATE

- **INTENT** – Permits an institution to pay a PSA’s actual transportation costs for an official visit to its campus from any location provided the PSA returns to the original point of departure, or to the PSA’s home, educational institution or site of competition. Will reduce the need to submit a waiver.

- **CURRENT RULE** – Allows institution to provide return trip to original point of departure or to the PSA’s home, educational or site of competition as long as cost does not exceed the round trip expenses from the original point of departure.
PROPOSAL NUMBER: 2017-111

Effective Date: AUGUST 1, 2018

• **INTENT** – In sports other than basketball and football, to specify that an institution may provide an official visit to a PSA beginning September 1 of the PSA’s junior year in high school.

• **CURRENT RULE** – In sports other than basketball, institutions are currently allowed to provide official visits beginning the opening day of classes of the PSA’s senior year in high school.
• **INTENT** – In sports other than basketball and football, to specify that an unofficial visit with athletics department involvement (e.g. contact with athletics department staff, athletics-specific tour, complimentary admission) shall not occur with an individual (or his or her relatives or legal guardians) before September 1 at the beginning of his or her sophomore year in high school.

• **CURRENT RULE** – In sports other than women’s gymnastics and lacrosse unofficial visits can occur at any time. In women’s gymnastics and lacrosse unofficial visits cannot occur until September 1 at the beginning of her junior year in high school.
PROPOSAL NUMBER: 2017-113
Effective Date: AUGUST 1, 2018

• **INTENT** — In sports other than basketball and football, to specify that recruiting conversations during an institutional camp or clinic are not permitted between an institution’s coach and a participating PSA before September 1 at the beginning of the PSA’s sophomore year in high school.

• **CURRENT RULE** — In sports other than basketball, recruiting conversations at an institution’s camp or clinic between the institution’s coach and a PSA are permitted. In lacrosse recruiting conversations at an institution’s camp or clinic between the institution’s coach and a PSA may not occur before September 1 at the beginning of the PSA’s junior year in high school.
OTHER PROPOSALS OF NOTE

- **2017-23** – Evaluations and Recruiting Calendars – WBB
- **2017-26** – Tryouts and Camps/Clinics – Definition of PSA – WSB
- **2017-41** – Camps/Clinics – Employment – Institutional or Noninstitutional Privately Owned Camps/Clinics – WSO
- **2017-43** – Recruiting Calendars – MGO – 45 Evaluation Days
- **2017-44, 45, 47** – Recruiting Calendar modifications for MWXC/Track, WVB and WGY
- **2017-51** – MGO Transfer (competition or athletically related aid)
- **2017-55** – MBB Graduate Transfer
Q & A