

SECTION 13
APPENDICES

The following appendices shall have the status of Rules of the Conference.

APPENDIX I
DR. PRENTICE GAUTT POSTGRADUATE SCHOLARSHIP
POLICY AND PROCEDURES

POLICY

It shall be the policy of the Conference to award, annually, \$7,500 postgraduate scholarships to outstanding male and female scholar-athletes. A total of twenty-four (24) student-athletes, one male and one female from each institution, shall be selected annually.

PROCEDURES

Award Criteria

Recipients must:

1. Have achieved a cumulative grade-point average of at least 3.200 (on a 4.000 scale).
2. Have participated for at least two years in intercollegiate competition at their respective Member Institutions.
3. Graduated from their respective Member Institutions and pursue a postgraduate educational opportunity within 15 months following their selection at the spring meeting of the Faculty Athletics Representatives.
4. Have exhausted eligibility. (Note: The Dr. Prentice Gautt Student-Athlete Welfare Committee has the authority to approve nominees, on a case by case basis, who have not exhausted eligibility.)

Institution must:

Submit one male and one female nominee annually.

Selection

1. Institutions shall submit (on a Conference prescribed form) the names and pertinent information concerning their postgraduate scholarship nominees to the Conference office no later than two weeks prior to the Conference spring meetings.
2. The Conference office shall, in advance of the spring meeting, distribute names and credentials of the nominees to the Dr. Prentice Gautt Student-Athlete Welfare Committee. (Note: Student-Athlete Advisory Committee representatives of the Dr. Prentice Gautt Student-Athlete Welfare Committee will not be involved in postgraduate scholarship review. This Committee is charged with the preliminary review of scholarship nominees.)
3. The committee will meet during Conference spring meetings to confirm the award recipients and final approval shall be made by the Council of Faculty Athletics Representatives.

Conditions

1. The recipients of the award shall enroll in a graduate or professional school of their choice within 15 months following their selection at the spring meeting of the Faculty Athletics Representatives. Requests for an extension of this deadline may be reviewed by the Dr. Prentice Gautt Student-Athlete Welfare Committee on a case by case basis. Such requests should be submitted in writing to the Conference office by the institution and/or the award recipient.
2. The scholarship will be paid directly to the recipient once the Conference office receives official notice that the recipient is officially enrolled and is attending classes in a full-time postgraduate program.

Notification and Publicity

1. Subsequent to the scholarship selections, the Conference office will provide written notification to the award recipients. This notification will include a copy of the Postgraduate Scholarship Policies and Procedures and a scholarship acceptance form.
2. The scholarship acceptance form must be completed by the award recipients and submitted to the Conference office no later than August 31 following the date of their selection. If the acceptance form is not submitted by the deadline, the scholarship will be considered declined.
3. The Conference office will, in cooperation with the sports information director of each recipient's Member Institution, issue press releases to appropriate media entities.

APPENDIX II PRINCIPLES AND STANDARDS OF SPORTSMANSHIP

1. **Preface**
The Member Institutions of the Big 12 Conference place great importance on the principles of sportsmanship and the ideal of pursuing victory with honor in intercollegiate athletics. With confidence in the institutional and Conference leadership, and with the belief that all concerned earnestly desire athletics to be conducted in a way that leaves self-respect untarnished and which reflects positively on the institutions, the following principles and standards of sportsmanship are adopted. These standards are written to emphasize the basic expectations of the Conference related to sportsmanship and provide the Commissioner with broad authority to ensure that Conference activities are consistent with these principles.
2. **Principles of Sportsmanship**
The essential elements of character-building and ethics in sports are embodied in the concept of sportsmanship and six core principles: trustworthiness, respect, responsibility, fairness, caring and good citizenship. The highest potential of sports is achieved when competition reflects these “six pillars of character.”
3. **Leadership**
It is important for officials, coaches, administrators, faculty and those who support athletics programs to recognize and discharge their responsibility to the student-athlete and their institutions. The duty of this leadership is to promote sportsmanship and foster good character by teaching, enforcing, advocating and modeling these ethical principles.
4. **Earning the Privilege to Participate**
Participation in athletics is a privilege, not a right. To earn that privilege, administrators, coaches and student-athletes must conduct themselves as positive role models who exemplify good character and in a manner consistent with prescribed standards.
5. **Standards for Conduct – Administrators, Coaches and Student-Athletes**
Coaches and administrators shall conduct themselves in a professionally dignified manner. Student-athletes shall similarly conduct themselves as positive role models and represent their institutions in a manner which exhibits the principles of sportsmanship.
The standards for the conduct of administrators, coaches and student-athletes immediately preceding, during, and following athletics events are:
 - (a) Exhibiting respect and courtesy towards all participants including the coaches, student-athletes, and officials;
 - (b) Exercising restraint in their actions, both physical and verbal, towards other participants;
 - (c) Commenting about other institutions, coaches and student-athletes only in a positive manner; and
 - (d) Processing all matters regarding officiating privately in accord with confidential Conference policy and making no public comment about officiating.
6. **Recruiting**
Each institutional staff member shall maintain the highest standards of integrity in their recruitment of prospective student-athletes. The staff shall comply with all NCAA rules and those related to the National Letter of Intent and fully disclose to prospective student-athletes all relevant information regarding the institution, its programs and the nature of any financial aid being offered.
7. **Public Comments Regarding Officiating**
Coaches, student-athletes and administrators shall not make public comments about officiating. Concerns related to officiating shall be forwarded to the Conference for review and response and no contact, direct or indirect, should be made with an official prior to or following an athletic event. The Commissioner shall review all public comments regarding officiating and shall assess appropriate penalties.
8. **Institutional Responsibility**
It shall be the responsibility of each Member Institution to effectively communicate to all coaches and student-athletes the basic principles of sportsmanship and standards for conduct. As a Member Institution becomes aware of an incident in which the standards have been violated, it has an obligation to take appropriate disciplinary action against the administrator, coach or student-athlete.
The institution also shall be responsible for the competitive environment at athletics events hosted on its campus. It shall take the necessary steps to ensure that the competition is fair and safe for all spectators and participants and that all ancillary activities (e.g., mascots, spirit squads) conform to Conference guidelines.

Each institution has an obligation to act with integrity and honesty in their dealings with other Big 12 members. Further, institutional staff members shall only comment about other institutions, coaches, and student-athletes in a positive manner and shall, as a courtesy, communicate with the Director of Athletics at another Big 12 institution prior to initiating an employment discussion with an employee of that institution.

9. **Conference Responsibility**

It shall be the responsibility of the Commissioner of the Big 12 Conference to promote and enforce these principles and standards of conduct in connection with all athletic events involving a member institution, including competition against non-conference institutions. The Commissioner shall have broad authority to interpret the standards, review disciplinary action taken by member institutions, and further penalize those deemed to have violated the standards.

10. **Procedure for Processing Possible Violations.**

When a member institution has reason to believe that a violation of the Principles and Standards of Sportsmanship has occurred, it shall be immediately reported to the Commissioner. Written communication between the Conference and the involved institution shall include copies to the president or chancellor and faculty athletics representative.

A. Report of Commissioner. After the Commissioner becomes aware of a possible violation of these rules, he/she or a designated Conference staff member will gather all information available for review of the matter. If the Commissioner concludes that a violation occurred, a report will be provided to the athletics director(s) of the institution(s) involved which will set forth the Commissioner's findings and penalty, if any, to be imposed.

B. Response by Institution. After the receipt of the Commissioner's report, the athletics director(s) of the involved institution(s) shall within 24 hours of receipt of the report submit a response to the Commissioner indicating the institution's position on the matter.

C. Final Decision by the Commissioner. Within 24 hours of the receipt of the institution's response, the Commissioner will send his final report to the athletics director(s) of the involved institution(s). The university will have 24 hours after receipt of the Commissioner's final decision to indicate in writing to the Commissioner whether or not it will appeal his/her decision under the provisions of Section 12 below.

D. Delegation of Authority and Timing Waiver. The Commissioner or Director of Athletics may designate another member of their staff to act on their behalf and the Commissioner shall have the authority to waive the timing requirements set forth above.

11. **Penalties**

The penalties that may be imposed by the Commissioner for violation of these standards include, but are not limited to: public or private reprimand, institutional fines, and suspension from practice and competition. For incidents that involve flagrant misconduct, the penalty shall be at minimum, a suspension from competition for one or more games. Flagrant misconduct shall include, but not be limited to, striking (e.g., kicking, hitting, throwing objects at) an official, coach, player, or spectator.

12. **Appeals**

A member institution or individual shall have the right to appeal the decision of the Commissioner, giving notice to the Commissioner within 48 hours after receiving notice of the penalty. The appeal shall expeditiously be reviewed by an Appeal Board consisting of the past three chairs of the Council of Faculty Athletics Representatives and the two future chairs of the Board of Athletics Directors. The Appeal Board shall not include an individual associated with involved institutions. The Member Institution or student-athlete making the appeal must submit a written statement outlining the reasons for the appeal to the Appeal Board at least 48 hours prior to the hearing. The decision of the Appeal Board shall be final.

Violation by a Director of the Board, Other Institutional Personnel, Institutional Board Member.

The members of the Conference Board of Directors, high ranking institutional staff outside of athletics and institutional board members are obligated to adhere to these sportsmanship rules. The Commissioner shall submit a report to the full Board if it is alleged that any such personnel have violated the rules. The Board has sole authority to consider the allegation and will determine whether a violation occurred and the penalty, if any, to be assessed.

APPENDIX III CONFERENCE POLICY ON GENDER EQUITY

The Conference and its Member Institutions will maintain and enhance the quality, excellence and traditions of the Conference with goals that can be measured, monitored, expanded and adjusted to address the participation opportunities and leadership roles of women in the Conference. These goals would address equity so that the educational and athletic opportunities of all students are considered. The guiding principles are as follows:

1. The Conference values the contributions and efforts of the student-athletes, coaches, staff and administrators and recognizes the richness such diversity brings to its Member Institutions.
2. The Conference affirmatively supports equitable participation opportunities for men and women student-athletes. Member Institutions affirm their commitment to equity by providing fair distribution of resources, facilities, services and opportunities to men and women students, coaches and staff.
3. The Conference shall not schedule (nor participate in) any regular or postseason competition or event at sites, venues or facilities which have membership restrictions or practices which result in discrimination on the basis of gender.
4. Gender equity is a goal of the Conference and is a mutual endeavor of the Member Institutions. Gender equity is an atmosphere and a reality where a fair distribution of overall athletic opportunity and resources is available to women and men, and where no student-athlete, coach or athletics administrator in the athletics program is discriminated against on the basis of gender.
5. Each Member Institution will identify a Senior Woman Administrator to represent it at Senior Woman Administrator meetings to be held in conjunction with the regular conference meetings. At these meetings, the Senior Woman Administrators will meet as a group to consider items relative to the welfare and advancement of women's athletics in the Conference. These items and other matters will be discussed with the Athletics Directors and Faculty Athletics Representatives at Joint Meetings.
6. Senior Woman Administrators will be included in the governance structure of the Conference.
7. The Member Institutions will affirmatively seek to hire women, where possible, in all positions and areas of their athletics departments and the Conference office. The Conference will also recognize and support women currently involved in the athletics departments.
8. The Conference will increase the recruitment, training and employment of women in the officiating and operations of all Conference events.
9. The Conference and its Member Institutions will seek to comply with the provisions of Title IX.

APPENDIX IV CONFERENCE POLICY ON DIVERSITY

Consistent with NCAA Constitution 2.7, the Conference and its Member Institutions are committed to cultural diversity, promoting respect and sensitivity to the dignity of every person and fostering participation of all in competition, administration and governance. It is the obligation of each Member Institution to refrain from discrimination prohibited by federal and state law, and to demonstrate a commitment to fair and equitable treatment of all student-athletes and athletics department personnel.

Consistent with this fundamental philosophy, the Conference shall:

1. Encourage its Member Institutions to increase the representation of minorities in athletics department leadership positions. In that regard, each Member Institution shall be encouraged to promote hiring of minority coaches and administrators, and to make full use of NCAA enhancement programs.
2. Establish internship and scholarship programs to enhance employment opportunities for minorities in athletics administration.
3. Support diversity at each Member Institution and enrich the understanding between its staff members and the Member Institution's community through sponsorship of symposiums, workshops, and clinics.
4. Encourage an atmosphere throughout the Conference among staff and student-athletes that demonstrates respect and support for each individual. As such, within the context of Conference events, the Conference will not tolerate disparaging comments, remarks, or jokes about any group of people including racist, sexist, or homophobic comments, remarks, or jokes.

**APPENDIX V
BOWL GAME POLICIES**

EXPENSE FORMULA:

The following institutional expense allowances will be provided for bowl participants:

Tier I: Bowl Championship Series

Expenses \$1,480,000

Travel \$199/mi

Tier II: Cotton, Holiday, Gator, Alamo, Sun, Insight

Expenses \$925,000

Travel \$199/mi

Tier III: Independence, Texas, (or substitute bowl)

Expenses \$650,000

Travel \$199/mi

Participating Member Institutions retain any “incidental” revenue provided by a bowl, including the value of complimentary sleeping rooms (e.g., transportation, meals, etc.) on top of the expense allowance for such bowl. Member Institutions participating in a bowl game are not responsible for the Conference’s guaranteed purchase of tickets to the bowl; however, they will be permitted to retain one-half (1/2) of the revenue from the sale of tickets in excess of one-half (1/2) of the Conference guarantee.

COMMUNICATIONS AND TICKET RULES:

Bowl Communications and Ticket Rules

The Big 12 Conference values its postseason bowl relationships and this communications policy is intended to ensure that those relationships are effectively fostered and developed to their fullest extent. It is acknowledged that inaccurate and inappropriate communications may potentially harm those relationships.

Basic Information

- The Conference has agreements with nine (9) bowls (Bowl Championship Series, Cotton Bowl, Holiday Bowl, Gator Bowl, Alamo Bowl, Sun Bowl, Insight Bowl, Independence Bowl and Texas Bowl) which set forth the rights and obligations of the bowls and the Big 12 Conference.
- Those agreements provide for an order of selection and generally enable the bowls to select the teams of their choice to participate in their games, providing the Conference with eight (8) guaranteed bowl positions every year.
- A process has been developed which involves a period of discussion between the bowls and the Conference prior to a final selection being made.

Communications

- Member institutions (including administrators, coaches and players) shall not publicly, directly or indirectly, disparage any bowl or express opinion over the qualities of one bowl over another or its particular preference of bowls.

- Member institutions are expected to promote all bowl relationships in a positive manner.
- It is not permissible for an athletics director or any institutional staff member to initiate communications with a bowl representative for purposes of expressing institutional preferences related to the selection process. If contacted by a bowl representative, the athletics director or staff member shall not negatively influence the selection process by indicating to a bowl executive director or team selection committee member that the university is not interested in attending a certain bowl game.
- The preference of member institutions to participate in a particular bowl shall be expressed only through a formal process for discussion. That process will involve the Commissioner or Senior Associate Commissioner, or both.

Ticket Rules

- It is not permissible for athletics directors or other university representatives, or others acting on their behalf, to guarantee or otherwise commit to the purchase of tickets above the contract amount without the prior consent of the Conference.

Penalties

- Institutions found to have violated the foregoing rules shall be (1) financially responsible for any short-falls in connection with Conference guaranteed ticket purchases; and, (2) denied additional revenue from ticket sales in excess of one-half of the Conference guarantee. Penalties shall be subject to public reporting. The following are examples of how the penalties would be applied:

Example #1: Conference guarantee is 10,000 tickets at \$50 per ticket (\$500,000). Institution sells 5,000 tickets. Institution is responsible for expense of 5,000 unsold tickets (\$250,000).

Penalty: \$250,000

Example #2: Conference guarantee is 10,000 tickets at \$50 per ticket (\$500,000). Institution sells 15,000 tickets. Institution would have realized a ticket revenue bonus of \$125,000 representing the ½ of the value of tickets sold over ½ of the guaranteed amount.

Penalty: \$125,000

Enforcement

- The Commissioner, or his designee, shall be charged with enforcing these policies. Information regarding possible violations of these policies should be immediately reported to the Commissioner who, if the information is deemed credible, will provide written notification to the institution alleged to have violated the rules and request a formal written response within a reasonable period of time. The Conference reserves the right to independently investigate the matter.
- The Commissioner shall have authority to find facts and impose penalties for violations of these rules. Upon a determination that a violation has occurred and prior to the imposition of the penalties referenced above, the affected institution shall be provided written notice of the policies alleged to have been violated and afforded an opportunity to be heard on the matter. An institution may appeal penalties imposed to the Executive Committee of the Board of Directors.

Please ensure that this basic information and these guidelines are distributed to all of the administrators and coaches who may be involved in bowl related communications.